

# New gTLD Program Update

19 September 2013



**Christine Willett**

VP, gTLD Operations  
Generic Domains Division

# Webinar Information

**US Toll-free:** 1-877-941-8269

**US Toll:** 1-480-629-9799

**International Numbers:** Download at <http://newgtlds.icann.org/webinars>

**Conference ID:** Applicant Update Webinar (4639304)

## + Q&A session after the presentation

- Questions submitted to ICANN prior to the webinar given priority
- Phone questions
  - + To ask a question dial \*1 (star one)
  - + To exit the question queue dial \*2 (star two)
- Questions will be answered as time permits

## + Recordings and slides available after the webinar: <http://newgtlds.icann.org/webinars>

# Agenda

- + Evaluation Trang Nguyen
- + Contracting Krista Papac
- + Pre-Delegation Testing Russ Weinstein
- + Objection & Dispute Resolution Cristina Flores
- + GAC Advice Christine Willett
- + Trademark Clearinghouse Karen Lentz
- + Name Collision Francisco Arias
- + Community Priority Evaluation Steve Chan
- + Auction Christine Willett
- + General Program Timeline Christine Willett

# Evaluation



Trang Nguyen  
Director, gTLD Operations

# Evaluation > Application Statistics

\* Statistics as of 13 September 2013

All Applied-for Strings	
Active	1806
Withdrawn	121
Not Approved	3
<b>TOTAL</b>	<b>1930</b>

Initial Evaluation	
Passed	1749
On-hold	20
Eligible for EE	37
<b>TOTAL</b>	<b>1806</b>

Extended Evaluation	
Under Review	22
CQs Issued	14
Outreach	1
<b>TOTAL</b>	<b>37</b>

# Contracting

Krista Papac  
gTLD Registry Services  
Director

# Contracting > Eligibility

## Who is eligible to be invited to Contracting?

- + Passed Initial Evaluation
- + Not actively in Contention
- + No active Objections
- + No pending Change Requests (i.e., Change Request not approved by ICANN)
- + Not on Category 1 or Category 2 GAC Advice Lists
- + Not listed as “High Risk” on the Name Collision Risk Mitigation Proposal

## Who can be invited to Contracting, but won't be eligible to sign a Registry Agreement?

- + Approved Change Request, but has not completed the 30-day comment period
- + Listed as “Uncategorized Risk” on the Name Collision Risk Mitigation Proposal

# Contracting > CIR Next Steps

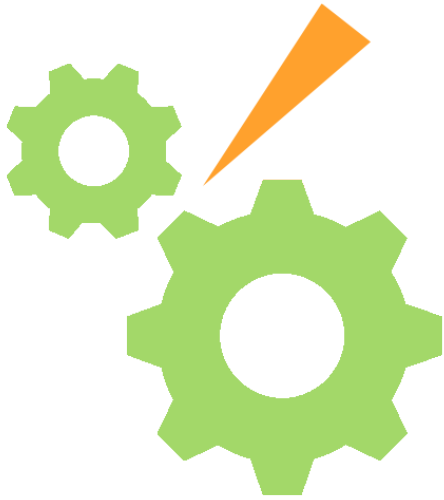
## What happens after you respond to a Contracting Information Request?

- + Administrative Completeness Check
  - Were all the questions answered?
  - Were the required attachments provided?
- + Substantive Review
  - Compliance check, Name Collision rating, Signatory is from Q.11, etc.
- + Legal Review
  - COI, Request Changes, Voluntary PICs
- + Resolution of Outstanding Items
  - COI original, Change Request comment period resolved, etc.
- + RA Sent for Signature
  - Applicant Signs First, ICANN Signs Last
  - Electronic signatures are sent using DocuSign
  - Manual signature also sent by DocuSign
- + Proceed to Pre-Delegation Testing
  - Invited during next weekly cycle

2-3 Weeks for  
Processing  
(depending on volume)



# Contracting > Application Processing



## What can slow down processing time?

- + Insufficient COI or need original LOC and/or subsequent Amendments
- + Complex Registry Services and/or Community Registration Policies
- + Voluntary PICs
- + Negotiation of Standard RA Terms & Conditions
- + Signatory not listed in Q.11
- + Request for exemption from Code of Conduct
- + IGO and/or Governmental Entity Designation

# Contracting > Statistics



## Current statistics as of 18 September 2013:

- + CIR Notifications sent through Priority Number **900**
- + COI outreach sent through Priority Number **1400**
- + **460** Applicants invited to contracting
- + **114** Applicants responded to CIR
  - **25%** Response Rate
- + **42** Contracts sent for signature
  - Average turnaround time = **19.4** days
- + **97.5%** of applicants selected Electronic Signature
- + **36** Registry Agreements signed
  - **11** IDNs
  - **0** Community
  - **0** Geographic
  - **25** Standard
  - **0** Elected Negotiation

# Pre-Delegation Testing Transition to IANA



Russ Weinstein  
Manager, gTLD Operations

# Pre-Delegation Testing > Status

## PDT Production testing underway

- + Applicants invited to PDT once Registry Agreement is executed
- + First 4 tests successfully completed in August 2013

## Current statistics

- + 31 applicants currently engaged in PDT
  - 25 applicants scheduled for PDT
  - 6 Invitations sent, applicant responses pending

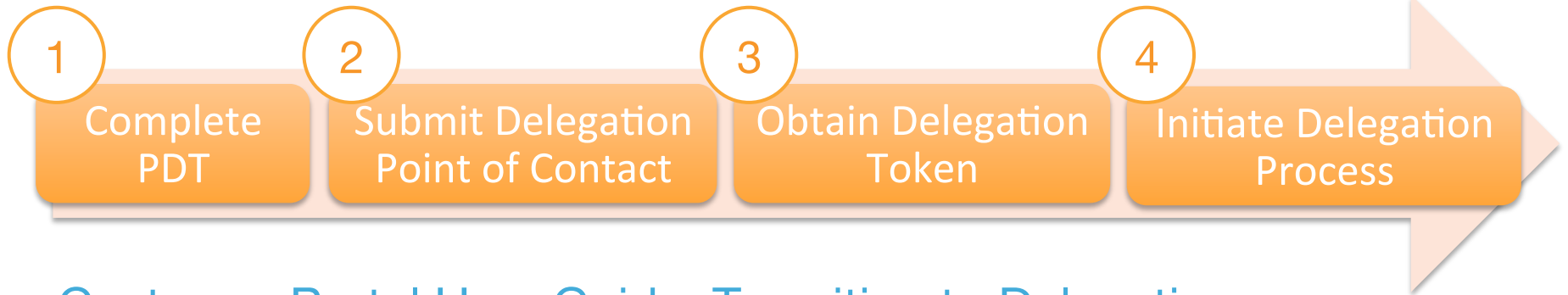
## PDT Specifications update expected 24 September 2013

- + Updates include:
  - Minor fix to EPP template
  - Update to IDN tests to clarify when EPP functionality is required
    - Input template modified
  - 2 DNS test cases updated to better align with relevant RFCs
  - Updated FAQ

Learn More: <http://newgtlds.icann.org/en/applicants/pdt>

# Transition to Delegation > Description

## Process Overview



## Customer Portal User Guide: Transition to Delegation

- + Customer Portal now supports Transition to Delegation processes (i.e., Contracting, Pre-Delegation Testing and Transition to IANA). More information: <http://newgtlds.icann.org/en/announcements-and-media/announcement-05sep13-en>

## IANA User Instructions and Source of Policy & Procedures

- + Public Comment period currently open: <http://www.icann.org/en/news/public-comment/gtld-drd-ui-policy-10sep13-en.htm>

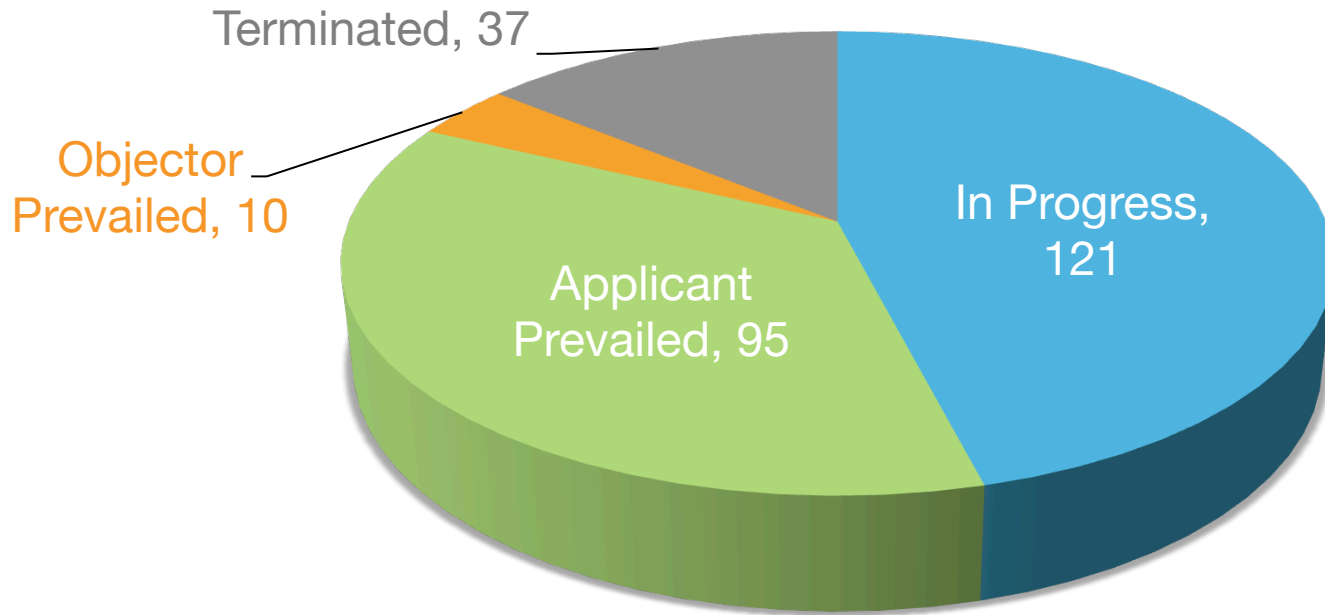
# Objections & Dispute Resolution



Cristina Flores

New gTLD Knowledge &  
Content Manager

# Objection & Dispute Resolution > Statistics



Dispute Resolution Proceedings	Admissible Objections	In Progress	Applicant Prevailed	Objector Prevailed	Terminated
String Confusion	67	22	34	5	6
Legal Rights	69	1	59	4	5
Limited Public Interest	23	21	0	0	2
Community	104	77	2	1	24
<b>Total Count</b>	<b>263</b>	<b>121</b>	<b>95</b>	<b>10</b>	<b>37</b>

# Objection & Dispute Resolution > Determinations

## Impact on Application Processing

- + When objector prevails:
  - String Confusion Objections: Contention set will be updated
  - Other Objections: Application will not be approved
    - Applicant may withdraw for a partial refund
- + When applicant prevails or objection is terminated:
  - Application may proceed to the next Program phases

## Publication of Determinations

- + ICANN will publish determinations on the microsite weekly, beginning 23 September 2013
  - <http://newgtlds.icann.org/en/program-status/odr/filings>
- + Applicants eligible to proceed will receive Contracting Information Requests after determinations have been published



# GAC Advice



Christine Willett  
VP, gTLD Operations

# GAC Advice > Status: Beijing Communiqué

## Beijing Communiqué Outstanding Advice



- + Category 1 Safeguard Advice and Advice Pertaining to IGO Names and Acronyms
  - Open items remain for NGPC consideration. Committee meets again on 28 September 2013.
- + Category 2: Exclusive Access TLDs
  - 186 applications are subject to Category 2: Exclusive Access under the Beijing Communiqué
  - ICANN solicited these applicants to understand their intent to operate an Exclusive Access Registry
    - 183 completed responses will be available for NGPC consideration

# GAC Advice > Status: Durban Communiqué

## Durban Communiqué GAC Advice Overview



- + Further advice issued
- + Applicants submitted responses to this advice for NGPC
  - 14 responses received regarding named strings, 136 additional responses
  - All applicant responses available on the microsite:  
<http://newgtlds.icann.org/en/applicants/gac-advice/durban47>

## NGPC Consideration

- + The New gTLD Program Committee (NGPC) of the ICANN Board met on 10 September 2013
  - Accepted the majority of Durban Communiqué GAC Advice relating to the named strings
  - .AMAZON to be considered at a future date
  - Learn more: <http://www.icann.org/en/news/announcements/announcement-12sep13-en.htm>

# Trademark Clearinghouse



Karen Lentz

Director, Operations &  
Policy Research

# Trademark Clearinghouse > Services

## Sunrise Service (live as of 9 Aug)

- + SMD revocation list, LORDN upload

## Claims service (live as of 9 Sep)

- + DNL list download, CNIS queries, LORDN upload

## Obtain credentials for test environment:

- + Registries under RA, Registrars under 2013 RAA, Registry service providers w/ active applications
- + Instructions at <http://newgtlds.icann.org/en/about/trademark-clearinghouse/scsvcs>

## Credentials issued

- + Registry: 19
- + Registrar: 6

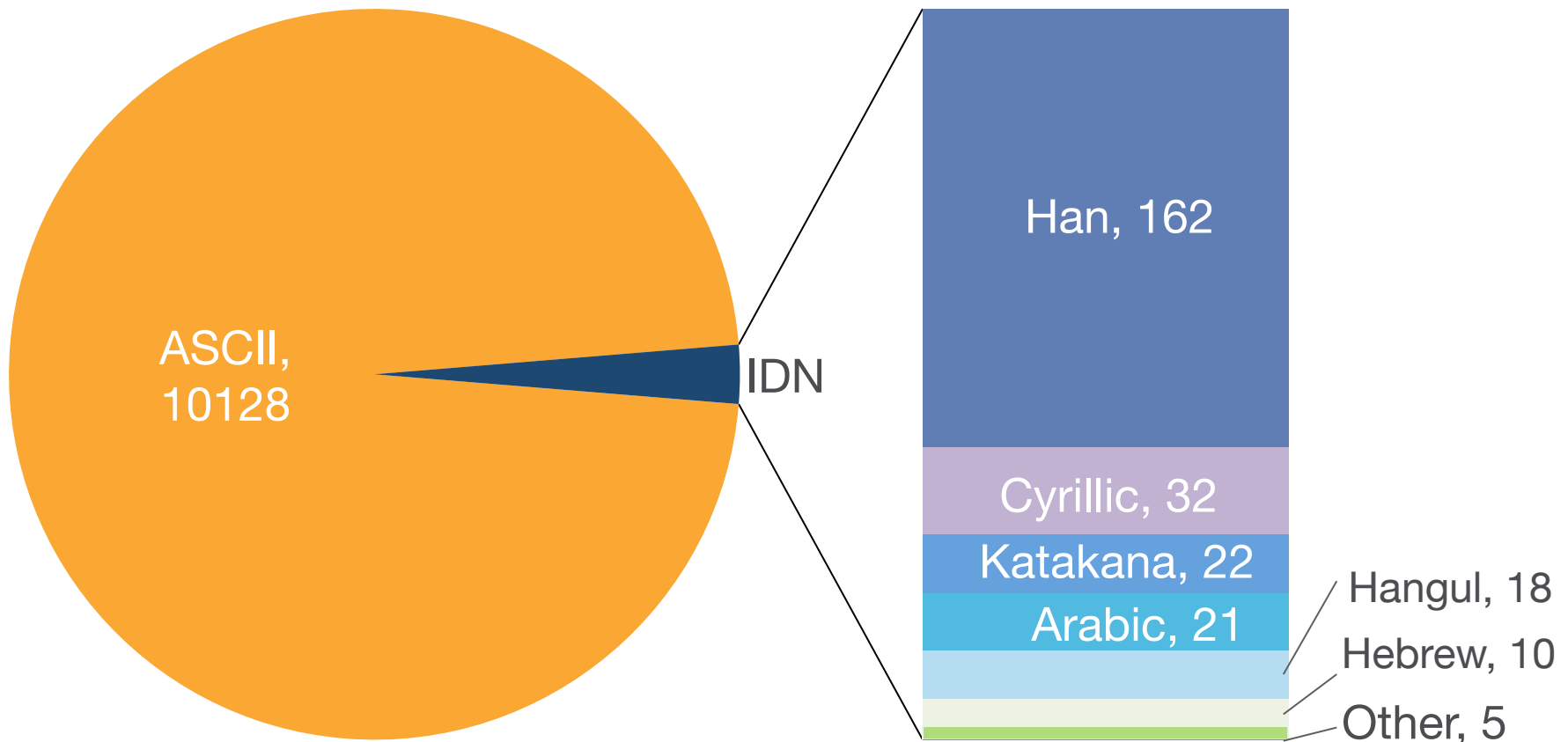
## IBM webinar series

- + <http://newgtlds.icann.org/webinars>

# Trademark Clearinghouse > Statistics

\* Statistics as of 31 August 2013

10,398 records, 81 jurisdictions



# Trademark Clearinghouse > Upcoming

**25 September** – Posting of final Rights Protection Mechanism Requirements

**11 October** – Submission of additional labels (up to 50 based on UDRP or court decision) for verification via Deloitte TMCH user interface

+ <http://trademark-clearinghouse.com/>

**18 October** – Integration of additional labels into the Claims service via IBM database service

# Name Collision



Francisco Arias  
gTLD Registry Technical  
Liaison



# Name Collision > Risk Management

<p>05 Aug 2013</p>	<p>Risk Mitigation Proposal for Public Comment</p> <ul style="list-style-type: none"><li>• 80% of strings: Low Risk – proceed to delegation</li><li>• 20% of strings: Uncalculated Risk – further analysis required</li><li>• 2 strings (corp &amp; home): High Risk</li></ul>
<p>17 Sep 2013</p>	<p>Public Comment Period closed</p> <ul style="list-style-type: none"><li>• 70+ comments received</li></ul>
<p>24 Sep 2013</p>	<p>Public Comment Report to be published</p>
<p>28 Sep 2013</p>	<p>ICANN Board NGPC to consider the issue at upcoming board meeting</p>

# Community Priority Evaluation



Steve Chan

gTLD Process & Integration  
Manager

# Community Priority Evaluation > Overview

## Status

- + CPE Early Election made available 14 June 2013
  - To date, 14 applicants have elected to participate
- + Economist Intelligence Unit's (EIU) Draft CPE Evaluation Guidelines published
- + EIU completed pilot to validate evaluation process and guidelines

## Eligibility

- + All applicants in the contention set must have:
  - Passed evaluation
  - No pending objections
  - No pending GAC Advice
- + Community applicant has no pending change requests

# Community Priority Evaluation > Details

Deposit/Fee: USD 22,000

## Timeline

- + CPE Panel reviewing feedback on their Evaluation Guidelines
  - Final guidelines to be published the week of 23 September 2013
  - Invitations will be sent to eligible applicants the week of 30 September 2013
- + Applicants have 21 calendar days to elect/pay
- + Community Priority Evaluations begin in mid-October 2013
- + First CPE results anticipated to be available January 2014

## Application Comments

- + Deadline for consideration is 14 days past invitation date

# Community Priority Evaluation > Process

## CPE Process

- + Scope: application data, support documents, correspondence, application comments, objection determinations, outside research
- + Clarifying Questions: verification of letters of support/opposition and response to opposition, objection determinations and application comment

## Additional Support Documentation

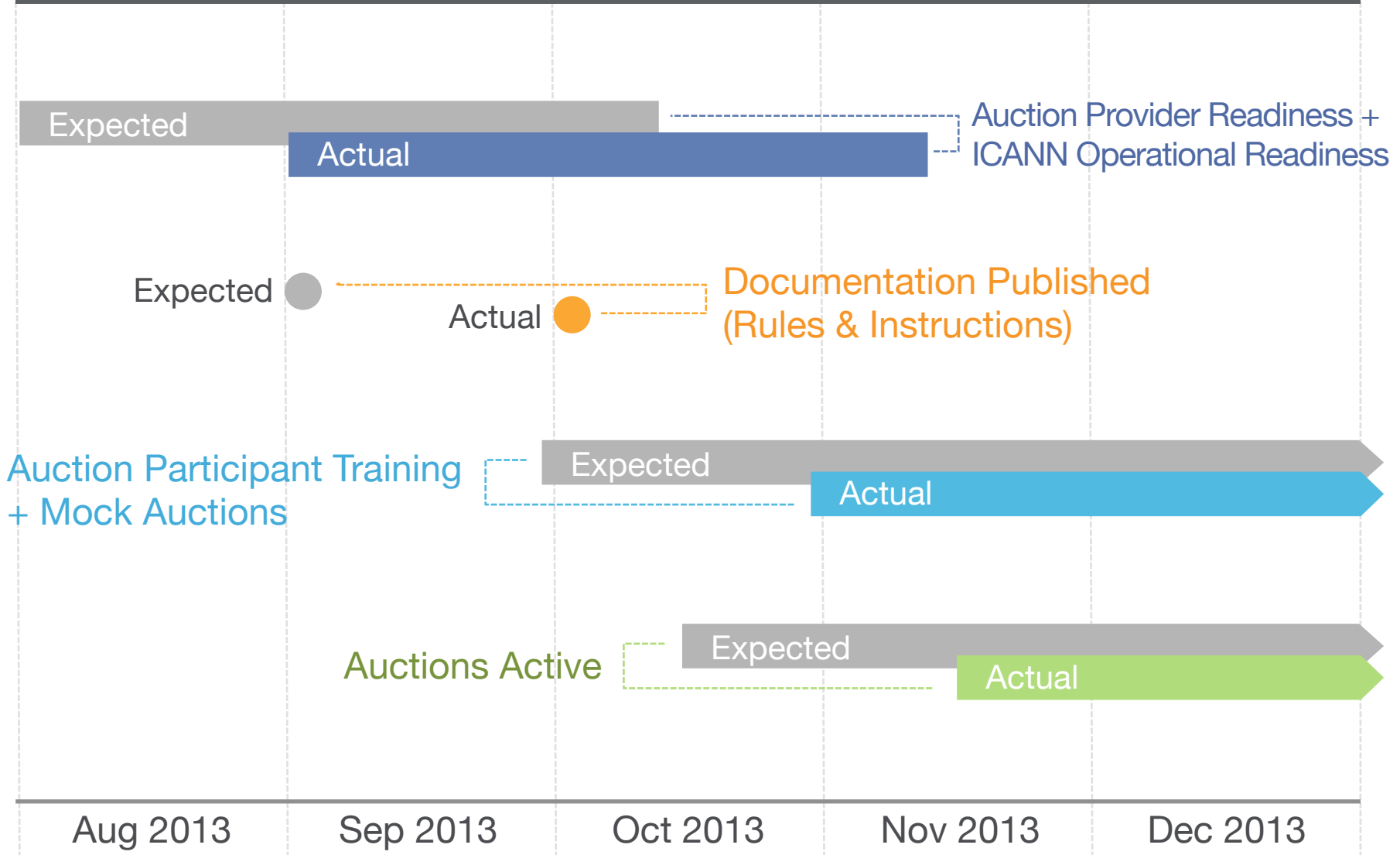
- + Letters of Support received via change requests, application comment, correspondence

# Auction



Christine Willett  
VP, gTLD Operations

# ICANN Auction > Timeline



# ICANN Auction > A Last Resort

## Auction Notifications

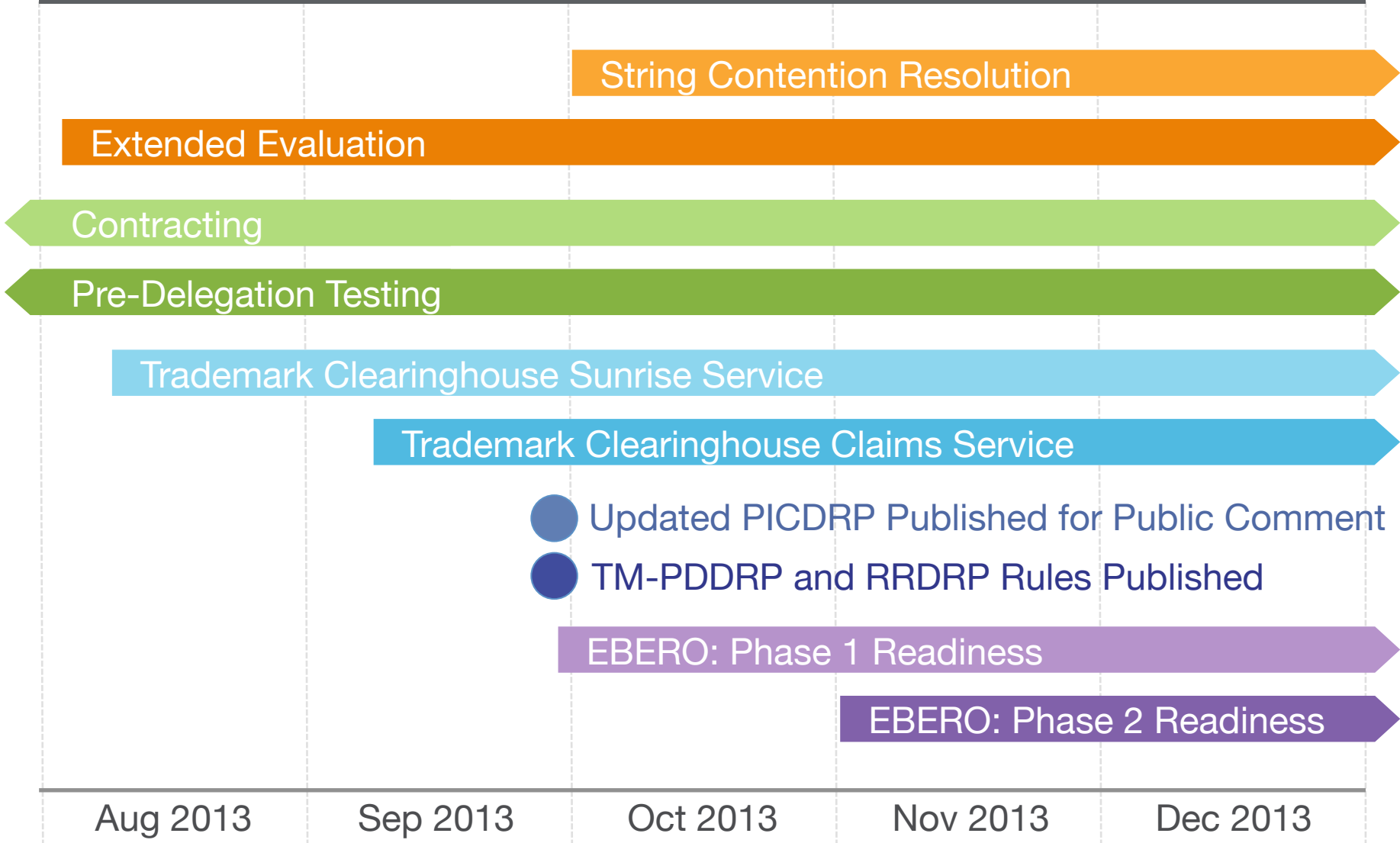
- + ICANN to send Auction Notification to eligible applicants once Contention Sets are finalized and eligible
- + First Contention Sets expected to receive Notification mid-October 2013
- + Contention Set members have 30 days to resolve contention
- + Auction to be scheduled and applicants notified of auction date
- + Per Applicant Guidebook – Applicants may request one-time extension



# Program Timeline



# New gTLD Program > Timeline



# Thank You & Questions?

US Toll-free: 1-877-941-8269

US Toll: 1-480-629-9799

International Numbers: <http://newgtlds.icann.org/webinars>

Conference ID: Applicant Update Webinar (4639304)

- + Questions submitted to ICANN prior to webinar given priority
- + Ask a question: Dial \*1 (star one)
- + Exit the question queue: Dial \*2 (star two)
- + We will alternate between questions submitted in the Questions & Comments pod and phone questions.
- + Questions will be answered as time permits